

Bishop Auckland College and South West Durham Training (thereafter to be termed 'the Organisation') is committed to providing high quality customer service but recognises that there will inevitably be occasions when customers, will be dissatisfied with an aspect of the Organisation's provision or services. We value complaints and use the information from them to help us improve our services. For the full procedure please refer to Customer Complaint Procedure reference BAC-Q-05.

**Note:** for the purpose of this guidance a customer would include current students, potential / previous students, parent/carers, visitors, employers, staff, etc.

#### Scope of the customer complaints procedure

This procedure is not to be used for the following matters, for which a separate procedure exists:

Matters relating to assessment performance and issues of academic judgement, except
where there is a complaint about service provided which needs to be resolved before an
academic appeal decision can be made. The Organisation reserves the right to reclassify a
complaint as an academic appeal or vice versa, if the submission has been made to the
incorrect procedure, or the submission falls properly within the remit of one procedure
rather than the other – Refer to Academic Appeals Procedure (ref: BAC-C-07).

**Note:** if a complaint and academic appeal are submitted at the same time and relate fundamentally to the same issue the academic appeal process will be paused until the Customer Complaints procedure has been concluded. Once the outcome to the complaint is known, this will be used to inform the Academic Appeals process.

**Note:** Complainants must go through the Organisation's complaints process before they can escalate their complaint to an external organisation/partner such as a Validating Institution, Awarding Organisation, Funding Body or the Office of the Independent Adjudicator (OIA).

## **Complaints Process**

Our Customer Complaint Process has 4 stages (3 formal stages)

## • Early Resolution / Informal Complaint

Early Resolution is designed to address straightforward concerns swiftly and locally. Where appropriate you should discuss your concerns first with your tutor or an appropriate member of staff. It is really important that you raise your concerns as soon as possible, and no more than 28 days of the issue occurring or being notified of a decision. Staff dealing with an informal complaint must endeavour to inform you of the outcome, with 10 working days.

#### • Formal Complaint Stage

If you are dissatisfied with the outcome of early resolution, or where early resolution is not possible or suitable due to the nature, complexity or seriousness of the matter, you can make a formal complaint. It is really important that you make your complaint as soon as possible, and no longer than 28 days after the event or being informed of the outcome of an informal complaint.

## Appeal (First Stage)

If you are not completely satisfied with the outcome of the formal complaint you can appeal. Your Appeal will be reviewed by an Independent Appeal Panel.

Appeal (Second Stage) Reviewed by Principal/Chief Executive.





## Who can complain?

Anyone who is dissatisfied with our service can make a complaint to the Organisation. We understand that you may be unable or reluctant, to make a complaint yourself. We will accept complaints from a friend relative or advocate, if you have given them your consent to complain on your behalf.

Please note: the Organisation may decline to accept complaints from a third party, unless they are acting as a complainant's representative and evidence to prove this is provided in the form of a signed declaration.

Where an issue raised affects a number of individuals, those individuals can submit a group complaint. In such circumstances the name of each individual must still be provided but the Organisation may ask the group to nominate one individual to act as group representative. The Organisation may decide to communicate only through the representative and expect them to liaise with the other individuals.

#### How do I complain?

You can complain in person, by phone, in writing, by email or by using our online complaints form: https://bacoll.ac.uk/complaints/

#### **Contact information**

Address: Quality Improvement Office, Bishop Auckland College, Woodhouse Lane, Bishop

Auckland, DL14 6JZ

Email: Quality@bacoll.ac.uk

Phone: 01388 443100

## When making a complaint what information must I provide?

When you make your complaint, make sure you inform us of:

- Your full name, address, telephone number and email address (if applicable)
- As much as you can about the complaint as possible, including dates and times etc.
- What has gone wrong, including any supporting evidence to substantiate the issues raised.
   Where possible, this may include copies of emails, witness statements, etc. If your complaint is regarding several issues please be sure to separate them out, this will help us to respond properly to your complaint.
- The outcome you are seeking if your complaint covers several issues outline for each issue the outcome you are seeking.

Note: regarding anonymous complaints – it is Organisational Policy for the complainant to provide their full name and contact details, in order for the Organisation to fully investigate a complaint. However, if there is a compelling case, supported by evidence, the matter may be investigated. It must be noted however, that raising a concern anonymously may impede the investigation and communication of the outcome.

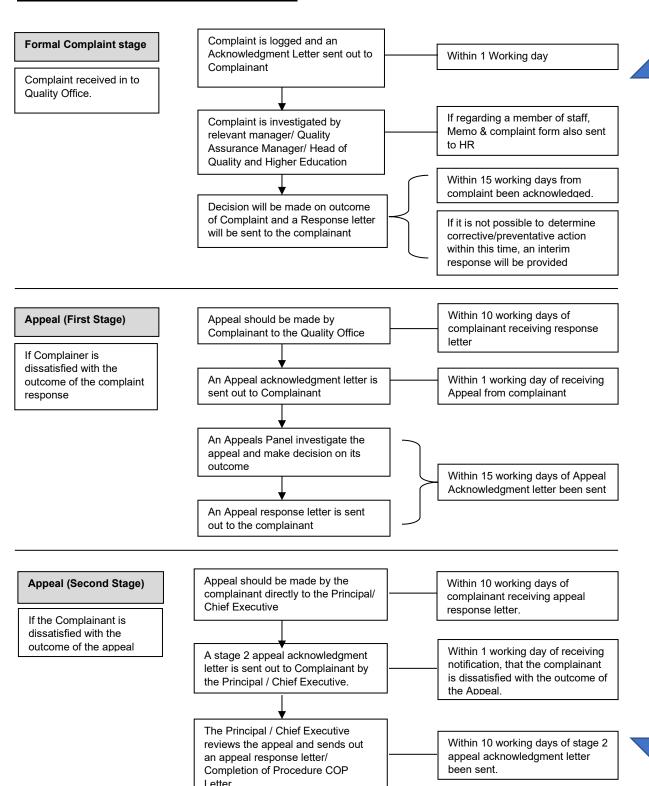
# Swd Customer Complaints – Guidelines Q-CC-20 Issue 1 Rev 4



## What happens when you complain?

We will always tell you who is dealing with your complaint. An overview of the formal complaints process is laid out below and a link to the Organisation's Customer Complaints Procedure is available on the website.

#### The Stages of the formal Complaint Process





Please note that working days do not include weekends or bank holidays. Occasionally this deadline may have to be extended to allow for key staff absence or organisation closure, for example, but under normal circumstances the Organisation will strive to meet the deadlines as outlined above.

#### Grounds on which you can appeal

If you are dissatisfied with the outcome of the formal complaints stage, you can request to appeal on the following grounds:

- Review a procedure followed at the formal stage
- Consideration of whether the outcome was reasonable
- New material evidence which the complainant was unable, for valid reasons, to provide earlier in the process

### Confidentiality

Please be assured that your complaint will be dealt with in confidence and only shared with staff who need to know in order to investigate and respond to the issues you've raised. You should note, however, that any person identified in a complaint or involved in making a decision you are querying, will be given the right to reply.

#### What if I am still dissatisfied?

After we have fully investigated/reviewed your complaint, if you are still dissatisfied with our decision or the way we dealt with your complaint you can complain to:

• For complaints relating to Further Education (FE) courses – Depending on the nature of the complaint you can contact either the relevant awarding organisation or the Education and Skills Funding Agency (ESFA). The contact details for the ESFA are provided below:

ESFA complaints team - complaints.esfa@education.gov.uk

Or via:

The Complaints Team
Education and Skills Funding Agency
Cheylesmore House
Quinton Road
Coventry
CV1 2WT

For further information about making a complaint to the ESFA, please refer to their website: <a href="https://www.gov.uk/government/organisations/education-and-skills-funding-agency/about/complaints-procedure#complain-about-a-post-16-training-provider-college-or-employer-we-fund">https://www.gov.uk/government/organisations/education-and-skills-funding-agency/about/complaints-procedure#complain-about-a-post-16-training-provider-college-or-employer-we-fund</a>

• For HE courses delivered in partnership with the University of Sunderland – you can complain directly to the University of Sunderland. You must do this within 3 months of receiving your complaints decision.



University of Sunderland Academic Administration Manager 2<sup>nd</sup> Floor Edinburgh Building Chester Road Sunderland SR1 3SD

Tel: 0191 515 2941

• For HE courses validated by the Open University (OU) – you may be able to complain directly to the Open University. You must do this as soon as possible and within 3 months of receiving your complaints decision.

The Vice- Chancellor's Delegate, The Open University, Academic Services, Student Casework Office, Walton Hall, Milton Keynes MK7 6AA

• For complaints relating to HNC/HND courses solely ran through the Organisation you can complain to the Office of the Independent Adjudicator (OIA). You must do this within 12 months of receiving the Completion of Procedures (COP) letter from the organisation.

You can fill in the OIA's complaint form online or download a copy from the OIA website: http://oiahe.org.uk/making-a-complaint-to-the-oia/oia-complaint-form.aspx

The Office of the Independent Adjudicator for Higher Education (OIA) runs an independent scheme to review student complaints. For further information about the OIA's scheme and the complaints they can and can't look at, please refer to their website <a href="https://www.oiahe.org.uk/students">https://www.oiahe.org.uk/students</a>

## Retention of your data

Information obtained in regards to the processing or investigation of a complaint and any associated appeal will be retained by the Organisation for the current academic year, plus 6 years.